





March 2014

Environmental Statement Chapter 30 Appendix A Construction Phase Dust Assessment

Application Reference: 6.30.1





Cover photograph: Indicative image showing installation of meteorological mast within the Dogger Bank Zone



1 Construction Phase Dust Assessment

1.1 Step one: screening the need for a detailed assessment

1.1.1 An assessment will normally be required where there are sensitive receptors within 350m of the site boundary and/or within 100m of the route(s) used by construction vehicles on the public highway, up to 500m from the site entrance(s). Where the need for a more detailed assessment is screened out, it can be concluded that the level of risk is "negligible".

1.2 Step two: assess the risk of dust effects arising

1.2.1 A dust emission class was determined for each of the three identified activities (earthworks, construction and trackout) using the criteria detailed in **Table A1**.

Table A1 Criteria used in the determination of dust emission class.

Activity	Criteria used to Determine Dust Emission Class						
Activity	Small	Medium	Large				
Earthworks	Total site area <2,500m ²	Total site area 2,500 - 10,000m ²	Total site area >10,000m ²				
Construction	Total building volume <25,000m ³	Total building volume 25,000 – 100,000m ³	Total building volume >100,000m ³				
Trackout	<25 HDV trips in any one day, unpaved road length <50m	25-100 HDV trips in any one day, unpaved road length 50-100m	>100 HDV trips in any one day, unpaved road length >100m				

1.2.2 **Table A2** to **Table A3** below displays the risk categories for the potential dust and PM₁₀ impacts from demolition; earthworks; general construction activities and trackout. They assume that no mitigation measures are applied and are dependent on the available information on the construction phase of works and professional judgement. The risk categories should be used as guidance for determining the level of mitigation to be applied.

Earthworks and construction activities

1.2.3 The potential dust emission class determined above should be used in the matrix in **Table A2** to determine the earthworks and construction activities risk categories with no mitigation applied (high, low or medium risk) based on the distance to the nearest receptors.



Table A2 Risk category from earthworks and construction activities

Distance to Neare	st Receptor (m) *	Dust Emission Class				
Dust Soiling and PM ₁₀	Ecological	Large	Medium	Small		
< 20	-	High Risk Site	High Risk Site	Medium Risk Site		
20 – 50	-	High Risk Site	Medium Risk Site	Low Risk Site		
50 - 100	< 20	Medium Risk Site	Medium Risk Site	Low Risk Site		
100 – 200	20 – 40	Medium Risk Site	Low Risk Site	Negligible		
200 - 350	40 – 100	Low Risk Site	Low Risk Site	Negligible		

^{*} Distance from dust emission source. Where this is not known then the distance should be taken from the site boundary. The risk is therefore based on the distance to the nearest receptor.

Trackout activities

- 1.2.4 Factors which determine the magnitude class are vehicle size, vehicle speed, vehicle numbers, geology and duration of trackout activities. As with all other potential sources, professional judgement must be applied when classifying trackout into one of the magnitude categories. Only receptors within 100m of the route(s) used by vehicles on the public highway and up to 500m from the site entrance(s) are considered to be at risk and the risk classification distances shown to reflect this.
- 1.2.5 The number of Heavy Duty Vehicle (HDV) trips stated in **Table A1** is for vehicles that leave the site after moving over unpaved ground, where they will accumulate mud and dirt that can be tracked out onto the public highway.
- 1.2.6 These potential dust emission class determined above should be used in the matrix in **Table A3** to determine the trackout risk category with no mitigation applied.

Table A3 Risk categories from trackout activities

Distance to Neare	st Receptor (m) *	Dust Emission Class				
Dust Soiling and PM ₁₀	Ecological	Large	Medium	Small		
< 20	-	High Risk Site	Medium Risk Site	Medium Risk Site		
20 – 50	< 20	Medium Risk Site	Medium Risk Site	Low Risk Site		
50 - 100	20 - 100	Low Risk Site	Low Risk Site	Negligible		

^{*} For the trackout, the distance is from the roads used by construction traffic.

1.2.7 There is an extra dimension to the assessment of trackout, as the distance over which it might occur depends on the site. As general guidance, significant trackout may occur up to 500m from large sites, 200m from medium sites and 50m from small sites, as measured from the site exit. These distances assume no site-specific mitigation.



1.2.8 The 'distance to receptor' in **Table A3** relates to the distance from the road where mud may be deposited. Therefore in determining the risk from trackout, both distances need to be taken into account.

1.3 Step three: identification of site specific mitigation

1.3.1 Having determined the risk categories for each of the four activities it is possible to determine the site-specific measures to be adopted. These measures will be related to whether the site is a low, medium or high risk site.

1.4 Step four: define effects and their significance

- 1.4.1 The significance is best determined using professional judgement, taking account of the factors that define the sensitivity of the surrounding area (see Section 3) and the overall pattern of potential risks. The sensitivity of the area needs to be defined.
- 1.4.2 The sensitivity of the area surrounding the construction / demolition site is combined with the risk of the site giving rise to dust effects (from Step Two) to define the significance of the effects for each of the four activities (demolition, earthworks, construction and trackout).
- 1.4.3 The preference in the IAQM guidance is to assign significance to the impact with mitigation. The residual effects for most sites will be negligible, as shown in **Table A4**.
- 1.4.4 When assessment of the significance of the effects without mitigation is required, the recommended significance criteria in **Table A5** should be used.
- 1.4.5 The final step is to determine the overall significance of the effects arising from the construction phase of the proposed development. This is based on professional judgement but should take account of the significance of the effects for each of the four activities.
- 1.4.6 **Table A6** and **Table A7** contain the construction phase assessment matrix carried out as part of this assessment.

Table A4 Significance of effects of each activity with mitigation

Sensitivity of Area	Risk of Site Giving Rise to Dust Effects							
	High	Medium	Low					
Very High	Slight Adverse	Slight Adverse	Negligible					
High	Slight Adverse	Slight Adverse Negligible						
Medium	Negligible	Negligible	Negligible					
Low	Negligible	Negligible	Negligible					



Table A5 Significance of effects of each activity without mitigation

Sensitivity of Area	Risk of Site Giving Rise to Dust Effects							
	High	Medium	Low					
Very High	Substantial Adverse	Moderate Adverse	Moderate Adverse					
High	Moderate Adverse	Moderate Adverse Moderate Adverse						
Medium	Moderate Adverse	Slight Adverse	Negligible					
Low	Slight Adverse	Negligible	Negligible					



Table A6 Construction phase assessment matrix – Dogger Bank Teesside A or B in isolation (single project)

Receptor		Dust Emission Class (DEC) ²		ass (DEC) ²	Risk	Risk of S	Site giving ri	se to Dust Effec	ts ⁴
Location ¹	Sensitivity of Receptor			Classification ³		Before Mitio	gation	After Mitiç	gation
	recopioi	Source Activity	DEC	Justification		Significance	Overall	Significance	Overall
				Human Rece	ptors				•
D1 Bydales School	Medium	Earthworks	Medium	 Receptor D4 is less than 20m from the cable corridor working 	High Risk Site	Moderate Adverse	Moderate Adverse	Negligible	Negligible
D2 Woodford Close				area. • DEC medium for all earthworks					
D3 De Havilland				activities as: - Total material					
Drive				moved during open cut					
D4 Simmons Nurseries				trenching, removal of					
D5 Cleveland View				topsoil and subsoil for temporary					
D6 Tunstall Gardens				access road, and backfilling and reinstatement					
D7 Grange				(HVDC cable route) = 20,000 -					
Estate				100,000 tonnes; - Total construction					
				space primary site compounds = 2,500 –					



Receptor	0 141- 14 1	Dust Emission Class (DEC) ²			Risk	Risk of Site giving rise to Dust Effects ⁴			
Location ¹	Sensitivity of Receptor	, ,		Classification ³	Before Mitig	ation	After Mitigation		
		Source Activity	DEC	Justification		Significance	Overall	Significance	Overall
				10,000m ² - Total site area for onshore converter station = >10,000m ² .					
		Construction	Large	 Receptor D7 is 320 from substation construction area. DEC large for construction activities as total converter station building volume >100,000m³ 	Low Risk Site	Negligible		Negligible	
		Trackout	Large	 There are a number of receptors located less than 20m from routes used by construction vehicles on the public highway. There are more than 100 HGV trips per day. 	High Risk Site	Moderate Adverse		Negligible	

¹ See **Table A3**² See **Table A1**³ See **Table A2** to **Table A4**⁴ See **Table A5**



Table A7 Construction phase assessment matrix – Dogger Bank Teesside A & B concurrently (two projects)

Receptor		Dust	Classification Before Mitigation		Risk	Risk o	f Site giving	rise to Dust Effec	ets ⁴
Location ¹	Sensitivity of				gation	After Mitigation			
	Receptor	Source Activity	DEC	Justification	3	Significance	Overall	Significance	Overall
				Human Rec	eptors				
D1 Bydales School D2 Woodford Close D3 De Havilland Drive D4 Simmons Nurseries D5 Cleveland View D6 Tunstall	Medium	Earthworks	Large	• Receptor D4 is less than 20m from the cable corridor working area. • DEC large for all earthworks activities as: • Total material moved during open cut trenching, removal of topsoil and subsoil for temporary access road, and backfilling and reinstatement (HVDC cable route) = >100,000 tonnes;	High Risk Site	Moderate Adverse	Moderate Adverse	Negligible	Negligible
Gardens D7 Grange Estate				 Total construction space primary site compounds = 2,500 - 					



Receptor		Dust Emission Class (DEC) ²		Risk	Risk of Site giving rise to Dust Effects ⁴				
Location ¹	Sensitivity of Receptor	2000			Classification	Before Miti	gation	After Mitigation	
	Receptor	Source Activity	DEC	Justification	3	Significance	Overall	Significance	Overall
				10,000m2Total site area for onshore converter station = >10,000m2.					
		Construction	Large	 Receptor D7 is 320 from substation construction area. DEC large for construction activities as total converter station building volume >100,000m³ 	Low Risk Site	Negligible		Negligible	
		Trackout	Large	 There are a number of receptors located less than 20m from routes used by construction vehicles on the public highway. There are more than 100 HGV trips per day. 	High Risk Site	Moderate Adverse		Negligible	

¹ See **Table A3**² See **Table A1**³ See **Table A2** to **Table A4**⁴ See **Table A5**